

# Global Alliances and Independence in Trees

<sup>1</sup>Mustapha Chellali and <sup>2</sup>Teresa W. Haynes

<sup>1</sup>Department of Mathematics, University of Blida.

B.P. 270, Blida, Algeria.

E-mail: mchellali@hotmail.com

<sup>2</sup> Department of Mathematics, East Tennessee State University

Johnson City, TN 37614 USA

E-mail: haynes@mail.etsu.edu

## Abstract

A defensive alliance (respectively, strong defensive alliance) in a graph  $G = (V, E)$  is a set of vertices  $S \subseteq V$  where for each  $v \in S$ , at least half of the vertices in the closed neighborhood (respectively, open neighborhood) of  $v$  are in  $S$ . A set  $S \subseteq V$  is an offensive alliance (respectively, strong offensive alliance) if for every vertex in  $v \in V - S$ , either  $v$  has no neighbor in  $S$  or at least half the vertices of its closed neighborhood are in  $S$  (respectively, a strict majority of its closed neighborhood is in  $S$ ). An alliance  $S$  is called global if it effects every vertex in  $V - S$ , that is,  $S$  is a dominating set of  $G$ . The global defensive alliance number  $\gamma_a(G)$  (respectively, global strong defensive alliance number  $\gamma_{\hat{a}}(G)$ ) is the minimum cardinality of a defensive (respectively, strong defensive) alliance that is also a dominating set. The global offensive alliance number  $\gamma_o(G)$  and the global strong offensive alliance number  $\gamma_{\hat{o}}(G)$  are defined similarly. In this paper we determine bounds on these four parameters for trees  $T$  in terms of the vertex independence number  $\beta_0(T)$ . In particular, we show that that  $\gamma_a(T) \leq \beta_0(T)$  for every tree  $T$ , and  $\gamma_{\hat{a}}(T) \leq \beta_0(T) + s - 1$  and  $2\gamma_{\hat{a}}(T) \leq 3\beta_0(T) - 1$  for every tree of order at least three, where  $s$  is the number of support vertices of  $T$ . Also, we show that  $\gamma_o(T) \leq \beta_0(T) \leq \gamma_{\hat{o}}(T)$ .

**Keywords:** *defensive alliance, offensive alliance, global alliance, domination, trees, independence number*

**AMS Subject Classification:** 05C69

## 1 Introduction

We begin with some terminology. For a vertex  $v$  of a graph  $G = (V, E)$ , the *open neighborhood* of a vertex  $v \in V$  is  $N(v) = \{u \in V \mid uv \in E\}$  and the *closed neighborhood* is  $N[v] = N(v) \cup \{v\}$ . The *boundary* of  $S$  is the set  $\partial S = N[S] - S$ . A set  $S$  is a dominating set if  $S \cup \partial S = V$ .

In [12] Hedetniemi, Hedetniemi, and Kristiansen introduced several types of alliances in graphs, including defensive and offensive alliances, defined as follows. A non-empty set of vertices  $S \subseteq V$  is called a *defensive alliance* (respectively, a *strong defensive alliance*) if for every  $v \in S$ ,  $|N[v] \cap S| \geq |N[v] - S|$  (respectively,  $|N(v) \cap S| \geq |N(v) - S|$ ). Since each vertex in a defensive alliance  $S$  has at least as many vertices from its closed neighbor in  $S$  as it has in  $V - S$ , by strength of numbers, we say that every vertex in  $S$  can be *defended* from possible attack by neighboring vertices in  $V - S$ . A non-empty set of vertices  $S \subseteq V$  is called an *offensive alliance* if for every  $v \in \partial S$ ,  $|N[v] \cap S| \geq |N[v] - S|$ . The set  $S$  is a *strong offensive alliance* if the inequality is strict.

An alliance  $S$  is *global* if it effects every vertex in  $V - S$ , that is, every vertex in  $V - S$  is adjacent to at least one member of the alliance  $S$ . In other words,  $S$  is both an alliance and a dominating set. The *global defensive alliance number*  $\gamma_a(G)$  (respectively, *global strong defensive alliance number*  $\gamma_{\hat{a}}(G)$ ) is the minimum cardinality of a global defensive alliance (respectively, global strong defensive alliance) of  $G$ . The entire vertex set is a global (strong) defensive alliance for any graph  $G$ , so every graph  $G$  has a global (strong) defensive alliance number. Similarly, the *global offensive alliance number*  $\gamma_o(G)$  (respectively, *global strong offensive alliance number*  $\gamma_{\hat{o}}(G)$ ) is the minimum cardinality of a global offensive alliance (respectively, global strong offensive alliance) of  $G$ , and they exist for every graph  $G$ . We abbreviate global defensive alliance as gda and global strong defensive alliance as gsda. We will use similar notation for offensive alliances. Alliances are studied in [2, 5, 6, 7, 8, 9, 12, 13, 14, 15].

Clearly, for any graph  $G$ ,  $\gamma(G) \leq \gamma_a(G) \leq \gamma_{\hat{a}}(G)$  and  $\gamma(G) \leq \gamma_o(G) \leq \gamma_{\hat{o}}(G)$ . Let  $\beta_0(G)$  denote the vertex independence number,  $i(G)$  denote the independent domination number, and  $\gamma(G)$  the domination number of  $G$ . For terminology not defined here and a thorough treatment of domination and its variations, see the books [10, 11]. For other graph theory terminology and notation, we generally follow [3].

The following well-known inequality chain [10] relates some basic domination invariants:

$$ir(G) \leq \gamma(G) \leq i(G) \leq \beta_0(G) \leq \Gamma(G) \leq IR(G). \quad (1)$$

Much research has been focused on when equality is achieved between pairs of parameters in the chain and also on where other parameters “fit” in the chain. In this paper, we consider if and where the four global alliance parameters fit in the inequality chain for trees. We note that for trees  $T$ , the upper parameters of the chain are equal, that is,  $\beta_0(T) = \Gamma(T) = IR(T)$  [4]. Hence it suffices to consider the relationships between the alliance parameters and independence numbers. We show that both  $\gamma_a(T)$  and  $\gamma_o(T)$  are bounded above by  $\beta_0(T)$ . However, we will see that this bound does not hold for the strong versions of the alliance numbers. In fact, we will show in Section 4 that  $\gamma_{\hat{o}}(T)$  is bounded below by  $\beta_0(T)$ . Although  $\gamma_{\hat{a}}(T)$  and  $\beta_0(T)$  are incomparable, we will show in Section 3 that  $\gamma_{\hat{a}}(T) \leq 3/2(\beta_0(T) - 1)$  and  $\gamma_{\hat{a}}(T) \leq \beta_0(T) + s - 1$  for every tree of order at least three with  $s$  support vertices.

Before presenting our results, we introduce some more terminology. For a generic parameter  $\mu(G)$ , we call a set satisfying the property for the parameter and having cardinality  $\mu(G)$ , a  $\mu(G)$ -set. The *degree* of  $v$  denoted by  $\deg_G(v)$ , is the number of vertices adjacent to  $v$  in  $G$ . A vertex of degree one is called a *leaf* and its neighbor is a *support vertex*. For a support vertex  $w$ , let  $L_w$  denote the set of leaves adjacent to  $w$ . A *double star* is a tree with exactly two support vertices. The *corona* of a graph  $G$  is the graph formed from a copy of  $G$  by attaching for each  $v \in V$ , a new vertex  $v'$  and edge  $vv'$ . In general, the *k-corona* of a graph  $G$  is the graph of order  $k|V(G)|$  obtained from  $G$  by adding a path of length  $k$  to each vertex of  $G$  so that the resulting paths are vertex disjoint.

We will use the following observation.

**Observation 1** *If  $T$  is a tree obtained from a tree  $T'$  by adding a star  $K_{1,p}$  ( $p \geq 1$ ) of center vertex  $w$  and an edge  $wv$  for some  $v$  of  $T'$ , then  $\beta_0(T) = \beta_0(T') + |L_w|$ .*

## 2 Global Defensive Alliances

For general graphs, the global defensive alliance number can be much larger than the independence number. For example, the complete graph  $K_n$  has  $\beta_0(K_n) = 1 \leq \lfloor \frac{n+1}{2} \rfloor = \gamma_a(K_n)$ . However, our first theorem shows that for trees  $T$ ,  $\gamma_a(T)$  is bounded above by the independence number.

**Theorem 2** For any tree  $T$ ,  $\gamma_a(T) \leq \beta_0(T)$ , and this bound is sharp.

**Proof.** We proceed by induction on the order of  $T$ . Clearly the result holds for  $n = 1, 2$  establishing the base case. Let  $n \geq 3$ , and assume that for every tree  $T'$  of order  $n' < n$ , we have  $\gamma_a(T') \leq \beta_0(T')$ . Let  $T$  be a tree of order  $n$ . If  $T$  is a star, then  $\gamma_a(T) = \lceil n/2 \rceil \leq \beta_0(T) = n - 1$ , and hence the result is valid. So assume that  $T$  is not a star, and let  $v$  be a support vertex of  $T$  for which the subgraph induced by  $V(T) - (L_v \cup \{v\})$  is a tree (such a vertex exists). Let  $T' = T - (L_v \cup \{v\})$ . Note that  $v$  has exactly one neighbor, say  $w$ , in  $T$  that is also in  $T'$ . Since  $T$  is not a star,  $T'$  has order at least two. We consider two cases.

**Case 1.**  $\deg_T(v) \geq 3$ , that is,  $v$  is adjacent to at least two leaves. Then every  $\gamma_a(T')$ -set  $S'$  can be extended to a gda of  $T$  by adding  $v$  and either  $\lfloor (|L_v| - 1)/2 \rfloor$  or  $\lceil |L_v|/2 \rceil$  leaves depending on whether  $w$  is contained in  $S'$  or not, respectively. Thus,  $\gamma_a(T) \leq \gamma_a(T') + \lceil |L_v|/2 \rceil + 1$ . Also by Observation 1,  $\beta_0(T) = \beta_0(T') + |L_v|$ . Now, applying the inductive hypothesis to  $T'$ , we obtain

$$\begin{aligned} \gamma_a(T) &\leq \gamma_a(T') + \lceil |L_v|/2 \rceil + 1 \leq \beta_0(T') + \lceil |L_v|/2 \rceil + 1 \\ &\leq \beta_0(T) - |L_v| + \lceil |L_v|/2 \rceil + 1, \end{aligned}$$

and therefore  $\gamma_a(T) \leq \beta_0(T)$ .

**Case 2.**  $\deg_T(v) = 2$ , that is,  $v$  is adjacent to exactly one leaf, say  $v'$ . Then every  $\gamma_a(T')$ -set  $S'$  can be extended to a gda of  $T$  by adding  $v$  or  $v'$  depending on whether  $w \in S'$ . Thus,  $\gamma_a(T) \leq \gamma_a(T') + 1$ . By applying the inductive hypothesis to  $T'$  and using Observation 1, we obtain the inequality.

That this bound is sharp may be seen by considering the tree  $H_k$ , formed from a path  $P_{2k+1}$  ( $k \geq 0$ ) labelled  $1, 2, \dots, 2k+1$ , where for each odd labelled vertex  $v$  of the path, a new  $P_5$  is added by identifying its center vertex with  $v$ . Then  $\gamma_a(H_k) = \beta_0(H_k) = 3(k+1)$ . For example, see  $H_3$  in Figure 1. ■

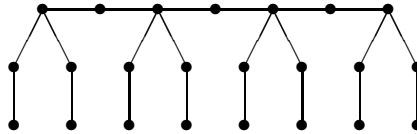


Figure 1: The tree  $H_3$ .

The following upper bound on the global alliance number of a tree is given in [7].

**Theorem 3** [7] *If  $T$  is a tree of order  $n \geq 4$ , then  $\gamma_a(T) \leq \frac{3n}{5}$ .*

Since  $\beta_0(T) \leq (n + \ell - 1)/2$  for every nontrivial tree  $T$  [1], our next corollary is an improvement on the bound of Theorem 3 for  $\ell \leq n/5$ .

**Corollary 4** *For every nontrivial tree  $T$ ,  $\gamma_a(T) \leq (n + \ell - 1)/2$ .*

Before concluding this section, we note that  $\gamma_a(T)$  and  $i(T)$  are incomparable. For a star  $T$  of order  $n \geq 3$ ,  $1 = i(T) < \lfloor n/2 \rfloor = \gamma_a(T)$ . On the other hand, for the subdivided star  $K_{1,p}^*$  (where each edge is subdivided exactly once),  $\gamma_a(K_{1,p}^*) = p + 1$ , while  $i(K_{1,p}^*) = p$ . Thus, we have the following corollary to Theorem 2.

**Corollary 5** *For any tree  $T$ ,*

$$ir(T) \leq \gamma(T) \leq \gamma_a(T) \leq \beta_0(T) = \Gamma(T) = IR(T).$$

### 3 Global Strong Defensive Alliances

The examples in the previous section also show that  $\gamma_{\hat{a}}(T)$  is incomparable to  $i(T)$ . Next we show that the global strong defensive alliance number and the vertex independence number are also incomparable in trees. In fact, the differences can be arbitrarily large. For example,  $\beta_0(T) = p > \lfloor p/2 \rfloor + 1 = \gamma_{\hat{a}}(T)$  if  $T$  is a star  $K_{1,p}$  ( $p \geq 4$ ) and  $\gamma_{\hat{a}}(T) = 4k > 3k = \beta_0(T)$  if  $T$  is the 2-corona of a path  $P_{2k}$ .

However, we establish the following upper bounds on  $\gamma_{\hat{a}}(T)$  in terms of  $\beta_0(T)$ . We will use rooted trees, and let  $T_v$  denote the subtree of the rooted tree  $T$  induced by  $v$  and its descendants.

**Theorem 6** *If  $T$  is a tree of order  $n \geq 3$  with  $s$  support vertices, then*

- a)  $\gamma_{\hat{a}}(T) \leq \frac{3\beta_0(T)-1}{2}$ ,
- b)  $\gamma_{\hat{a}}(T) \leq \beta_0(T) + s - 1$ ,

*and these bounds are sharp.*

**Proof.** We proceed by induction on the number of vertices  $n$ . If  $\text{diam}(T) = 2$ , then  $T$  is a star  $K_{1,p}$  ( $p \geq 2$ ) where  $\gamma_{\hat{a}}(K_{1,p}) = \lfloor p/2 \rfloor + 1$ ,  $\beta_0(T) = p$ , and  $s = 1$ , so the result is valid. If  $\text{diam}(T) = 3$ , then  $T$  is a double star  $S_{p,q}$  where  $\gamma_{\hat{a}}(S_{p,q}) = \lfloor p/2 \rfloor + \lfloor q/2 \rfloor + 2$ ,  $\beta_0(T) = p + q$ , and  $s = 2$ . Again the result is valid.

Assume that for every tree  $T'$  of order  $n'$  with  $n > n' \geq 3$  and  $s'$  support vertices, we have  $2\gamma_{\hat{a}}(T') \leq 3\beta_0(T') - 1$  and  $\gamma_{\hat{a}}(T') \leq \beta_0(T') + s' - 1$ .

Let  $T$  be a tree of order  $n$ . If any support vertex, say  $x$ , of  $T$  is adjacent to two or more leaves, then let  $T'$  be the tree obtained from  $T$  by removing a leaf say  $x'$  adjacent to  $x$ . Then every  $\gamma_{\hat{a}}(T')$ -set can be extended to a gsda of  $T$  by adding the vertex  $x'$  and so  $\gamma_{\hat{a}}(T) \leq \gamma_{\hat{a}}(T') + 1$ . Also it can be seen that  $\beta_0(T) = \beta_0(T') + 1$  and  $s' = s$ . Applying the inductive hypothesis to  $T'$ , we obtain

$$\begin{aligned} 2\gamma_{\hat{a}}(T) &\leq 2(\gamma_{\hat{a}}(T') + 1) \leq 3\beta_0(T') + 1 = 3\beta_0(T) - 3 + 1 \\ &< 3\beta_0(T) - 1, \end{aligned}$$

and

$$\gamma_{\hat{a}}(T) \leq \gamma_{\hat{a}}(T') + 1 \leq (\beta_0(T') + s' - 1) + 1 = \beta_0(T) + s - 1.$$

Thus we assume that every support vertex is adjacent to exactly one leaf.

Root  $T$  at a vertex  $r$  of maximum eccentricity  $\text{diam}(T) \geq 4$ . Let  $v$  be a support vertex of maximum distance from  $r$  and  $u$  the parent of  $v$  in the rooted tree. Then  $v$  has degree two. Let  $y$  be the child of  $v$  and consider the following two cases.

**Case 1.**  $\deg_T(u) \geq 3$ . Then  $u$  is either a support vertex of  $T$  or has a child besides  $v$  that is a support vertex. Let  $T' = T - T_v$ . Since  $\text{diam}(T) \geq 4$ ,  $T'$  has order at least three. Without loss of generality, there is a  $\gamma_{\hat{a}}(T')$ -set that contains  $u$ . Such a set can be extended to a gsda of  $T$  by adding the vertex  $v$ , and hence,  $\gamma_{\hat{a}}(T) \leq \gamma_{\hat{a}}(T') + 1$ . Clearly we also have  $s' = s - 1$ . Applying the inductive hypothesis to  $T'$  and using Observation 1, we obtain

$$\begin{aligned} 2\gamma_{\hat{a}}(T) &\leq 2(\gamma_{\hat{a}}(T') + 1) \leq 3\beta_0(T') + 1 = 3\beta_0(T) - 3 + 1 \\ &< 3\beta_0(T) - 1, \end{aligned}$$

and

$$\begin{aligned} \gamma_{\hat{a}}(T) &\leq \gamma_{\hat{a}}(T') + 1 \leq (\beta_0(T') + s' - 1) + 1 = \beta_0(T) + s - 2 \\ &< \beta_0(T) + s - 1. \end{aligned}$$

**Case 2.**  $\deg_T(u) = 2$ . Let  $w$  be the parent of  $u$  in the rooted tree. Based on the previous case, we may assume that every descendent of  $w$  has degree at most two.

Assume first that  $w$  is a support vertex or there is a path  $P_3 = abc$  besides  $yvu$  attached to  $w$  by  $a$ . Let  $T' = T - T_u$ . We may assume that  $T'$  has order at least three else the result holds. Then  $\gamma_{\hat{a}}(T) \leq \gamma_{\hat{a}}(T') + 2$  since every  $\gamma_{\hat{a}}(T')$ -set can be extended to a gsda of  $T$  by adding to it  $v$  and  $u$ . On the other hand, it is a routine matter to check that there is a  $\beta_0(T')$ -set  $S'$  that does not contain  $w$ , implying that  $S' \cup \{u, y\}$  is an independent set of  $T$ . So  $\beta_0(T) \geq \beta_0(T') + 2$ . Also  $s' \leq s$ . Applying the inductive hypothesis to  $T'$ , we have

$$\begin{aligned} 2\gamma_{\hat{a}}(T) &\leq 2(\gamma_{\hat{a}}(T') + 2) \leq 3\beta_0(T') + 3 \leq 3\beta_0(T) - 3 \\ &< 3\beta_0(T) - 1, \end{aligned}$$

and

$$\gamma_{\hat{a}}(T) \leq \gamma_{\hat{a}}(T') + 2 \leq (\beta_0(T') + s' - 1) + 2 \leq \beta_0(T) + s - 1.$$

Assume now that  $\deg_T(w) \geq 3$  and every path attached to  $w$  except the  $P_3 = uvv$  is a path  $P_2$ , that is,  $T_w$  is obtained from a star  $K_{1,p}$  with  $p \geq 2$  where exactly one edge is subdivided twice and the remaining edges once. Let  $T' = T - T_w$ . Since  $w$  is not a support vertex, if  $T'$  has order two, then  $\gamma_{\hat{a}}(T) = p + 3$ ,  $\beta_0(T) = p + 2$  and  $s = p + 1$ , and the result is valid. We assume that  $T'$  has order at least three. Then  $\gamma_{\hat{a}}(T) \leq \gamma_{\hat{a}}(T') + p + 2$ ,  $\beta_0(T) \geq \beta_0(T') + p + 1$ , and  $s - p \leq s' \leq s - p + 1$ . Applying the inductive hypothesis to  $T'$ , we have

$$2(\gamma_{\hat{a}}(T) - p - 2) \leq 2\gamma_{\hat{a}}(T') \leq 3\beta_0(T') - 1 \leq 3(\beta_0(T) - p - 1) - 1.$$

Therefore  $2\gamma_{\hat{a}}(T) < 3\beta_0(T) - 1$  since  $p \geq 2$ . Also,

$$\gamma_{\hat{a}}(T) - p - 2 \leq \gamma_{\hat{a}}(T') \leq \beta_0(T') + s' - 1 \leq (\beta_0(T) - p - 1) + (s - p + 1) - 1,$$

and hence  $\gamma_{\hat{a}}(T) \leq \beta_0(T) + s - 1$  since  $p \geq 2$ .

Finally assume that  $\deg_T(w) = 2$ . Let  $T' = T - T_w$ . We assume that  $T'$  has order at least three for otherwise  $T \in \{P_5, P_6\}$  and the result holds. Then every  $\gamma_{\hat{a}}(T')$ -set can be extended to a gsda of  $T$  by adding the vertices  $v, u, w$ , and so  $\gamma_{\hat{a}}(T) \leq \gamma_{\hat{a}}(T') + 3$ . We also have  $\beta_0(T) \geq \beta_0(T') + 2$  and  $s - 1 \leq s' \leq s$ . Applying the inductive hypothesis to  $T'$ , we have

$$2(\gamma_{\hat{a}}(T) - 3) \leq 2\gamma_{\hat{a}}(T') \leq 3\beta_0(T') - 1 \leq 3(\beta_0(T) - 2) - 1.$$

Therefore,  $2\gamma_{\hat{a}}(T) \leq 3\beta_0(T) - 1$ .

Moreover, if  $s' = s - 1$ , then

$$\gamma_{\hat{a}}(T) \leq \gamma_{\hat{a}}(T') + 3 \leq (\beta_0(T') + s' - 1) + 3 \leq \beta_0(T) + s - 1.$$

Now if  $s' = s$ , then the parent of  $w$ , say  $w'$ , in the rooted tree has degree two in  $T$  and is a leaf in  $T'$ . In this case, there is a  $\gamma_{\hat{a}}(T')$ -set  $S'$  that does not contain  $w'$ , and hence,  $S' \cup \{v, u\}$  is a gsda of  $T$ . Thus,  $\gamma_{\hat{a}}(T) \leq \gamma_{\hat{a}}(T') + 2$ . Applying the inductive hypothesis to  $T'$ , we obtain the desired inequality. This achieves the proof.

That both bounds are sharp may be seen by the caterpillar  $T$ , where  $T$  has  $k \geq 2$  support vertices each adjacent to exactly one leaf and the distance between every pair of consecutive support vertices is three. Then  $\beta_0(T) = 2k - 1$ ,  $\gamma_{\hat{a}}(T) = 3k - 2$ , and  $s = k$ . ■

## 4 Offensive Alliances

**Observation 7** *For any graph  $G$ , the leaves of  $G$  are contained in every  $\gamma_{\delta}(G)$ -set.*

**Theorem 8** *For any tree  $T$ ,  $\gamma_o(T) \leq \beta_0(T) \leq \gamma_{\delta}(T)$ , and these bounds are sharp.*

**Proof.** We proceed by induction on the order  $n$  of  $T$ . Clearly, the result holds for  $n = 1$ . For  $n = 2$ ,  $\gamma_o(T) = 1 = \beta_0(T) < 2 = \gamma_{\delta}(T)$ , establishing the base cases. Let  $n \geq 3$ , and assume that for every tree  $T'$  of order  $n' < n$ , we have  $\gamma_{a_o}(T') \leq \beta_0(T') \leq \gamma_{\hat{a}_o}(T')$ . If  $T$  is a star of order  $n \geq 3$ , then  $\gamma_o(T) = 1 \leq \beta_0(T) = n - 1 = \gamma_{\delta}(T)$ , and hence the result is valid. So assume that  $T$  is not a star, and let  $v$  be a support vertex of  $T$  for which the subgraph induced by  $V(T) - (L_v \cup \{v\})$  is a tree (such a vertex exists). Let  $T' = T - (L_v \cup \{v\})$ . Note that  $v$  has exactly one neighbor, say  $w$ , in  $T$  that is also in  $T'$ . Since  $T$  is not a star,  $T'$  has order at least two.

Then every  $\gamma_o(T')$ -set  $S'$  can be extended to a goa of  $T$  by adding  $v$ . Thus,  $\gamma_o(T) \leq \gamma_o(T') + 1$ . Also by Observation 1,  $\beta_0(T) = \beta_0(T') + |L_v|$ . Now, applying the inductive hypothesis to  $T'$ , we obtain

$$\gamma_o(T) \leq \gamma_o(T') + 1 \leq \beta_0(T') + 1 \leq \beta_0(T') + |L_v| = \beta_0(T).$$

Next we establish the upper bound. By Observation 7,  $L_v$  is contained in every  $\gamma_{\delta}(T)$ -set. Hence, without loss of generality, we can choose  $S$  to be a  $\gamma_{\delta}(T)$ -set that does not contain  $v$ . Then if  $S'$  is the subset of  $S$  restricted to

$T', S'$  is a gsoa of  $T'$ . Hence,  $\gamma_{\delta}(T') \leq |S| - |L_v| = \gamma_{\delta}(T) - |L_v|$ . Applying the inductive hypothesis to  $T'$ , we have  $\beta_0(T') \leq \gamma_{\delta}(T')$  and so  $\beta_0(T) = \beta_0(T') + |L_v| \leq \gamma_{\delta}(T') + |L_v| \leq \gamma_{\delta}(T)$ .

Stars of order  $n \geq 3$  achieve the upper bound. Moreover, the trees  $T_p$  formed from a stars  $K_{1,p}$  with  $p \geq 3$  edges where each edge is subdivided exactly three times, have  $\gamma_o(T_p) = 2p = \beta_0(T_p)$ . ■

Note that  $i(T)$  and  $\gamma_o(T)$  are incomparable, and the differences can be arbitrarily large. To see this, let  $T$  be the 2-corona of a path  $P_{3k}$ . Then  $i(T) = 3k < 4k = \gamma_o(T)$ . On the other hand, for the double star  $S_{p,q}$ ,  $3 \leq p \leq q$ ,  $\gamma_o(S_{p,q}) = 2 < p + 1 = i(S_{p,q})$ . Hence we have the following corollary.

**Corollary 9** *For any tree  $T$ ,*

$$ir(T) \leq \gamma(T) \leq \gamma_o(T) \leq \beta_0(T) = \Gamma(T) = IR(T) \leq \gamma_{\delta}(T).$$

We conclude with the following observations.

**Observation 10** *If  $G$  is a graph of order  $n$  with no isolated vertices, then  $\beta_0(G) + \gamma_o(G) \leq n$ .*

**Proof.** Let  $S$  be a  $\beta_0(G)$ -set. Then  $V - S$  is a global offensive alliance of  $G$  and so  $\gamma_o(G) \leq |V - S|$ . ■

From Observation 10 and Theorem 8, we have the following corollary.

**Corollary 11** *If  $T$  is a nontrivial tree, then  $\gamma_o(T) \leq n/2$ .*

The next corollary follows from Theorem 2 and Observation 10.

**Corollary 12** *If  $T$  is a nontrivial tree, then  $\gamma_a(T) + \gamma_o(T) \leq n$ .*

Let  $\beta_1(T)$  denote the edge independence number. It is well known that for every tree  $T$ ,  $\beta_1(T) + \beta_0(T) = n$  and  $\beta_0(T) \geq n/2$ . (See page 268 in [3].) Thus, if a nontrivial tree has  $\gamma_o(T) = \beta_0(T)$ , it must be that case that  $\gamma_o(T) = n/2$  and  $\beta_1(T) = n/2$ .

**Corollary 13** *If  $T$  is a tree with  $\beta_0(T) = \gamma_o(T)$ , then  $T = K_1$  or  $T$  has a perfect matching.*

## References

- [1] M. Blidia, M. Chellali and O. Favaron, Independence and domination in trees. Submitted.
- [2] R. C. Brigham, R. D. Dutton, T. W. Haynes, and S. T. Hedetniemi, Powerful alliances in graphs. Submitted.
- [3] G. Chartrand and L. Lesniak, *Graphs & Digraphs: Third Edition*. Chapman & Hall, London (1996).
- [4] E. J. Cockayne, O. Favaron, C. Payan, and A. G. Thomason, Contributions to theory of domination, independence, and irredundance in graphs. *Discrete Math.* 33 (1981) 249–258.
- [5] O. Favaron, G. Fricke, W. Goddard, S. M. Hedetniemi, S. T. Hedetniemi, P. Kristiansen, R. C. Laskar, and D. Skaggs, Offensive alliances in graphs. Proc. 17th Internat. Symp. Comput. Inform. Sci. (I. Cicekli, N. K. Cicekli, and E. Gelenbe, Eds.), ISCIS xvii, October 28-30, 2002, Orlando, FL, CRC Press, pp. 298-302.
- [6] G. H. Fricke, L. M. Lawson, T. W. Haynes, S. M. Hedetniemi, and S. T. Hedetniemi, A note on defensive alliances in graphs. *Bull. Inst. Combin. Appl.* 38 (2003) 37-41.
- [7] T. W. Haynes, S. T. Hedetniemi, and M. A. Henning, Global defensive alliances in graphs. *The Electronic J. Combin.* 10 (2003) R47.
- [8] T. W. Haynes, S. T. Hedetniemi, and M. A. Henning, A characterization of trees with equal domination and global strong alliance numbers. Submitted.
- [9] T. W. Haynes, S. T. Hedetniemi, and M. A. Henning, Global defensive alliances, Proc. 17th Internat. Symp. Comput. Inform. Sci. (I. Cicekli, N. K. Cicekli, and E. Gelenbe, Eds.), ISCIS xvii, October 28-30, 2002, Orlando, FL, CRC Press, pp. 298–302.
- [10] T. W. Haynes, S. T. Hedetniemi, and P. J. Slater, *Fundamentals of Domination in Graphs*, Marcel Dekker, New York, 1998.
- [11] T. W. Haynes, S. T. Hedetniemi, and P. J. Slater (eds), *Domination in Graphs: Advanced Topics*, Marcel Dekker, New York, 1998.

- [12] S. M. Hedetniemi, S. T. Hedetniemi, and P. Kristiansen, Alliances in graphs. *J. Combin. Math. Combin. Comput.* 48 (2004) 157–177.
- [13] P. Kristiansen, S. M. Hedetniemi, and S. T. Hedetniemi, Introduction to alliances in graphs, Proc. 17th Internat. Symp. Comput. Inform. Sci. (I. Cicekli, N. K. Cicekli, and E. Gelenbe, Eds.), ISCIS xvii, October 28-30, 2002, Orlando, FL, CRC Press, pp. 308-312.
- [14] A. McRae, W. Goddard, S. M. Hedetniemi, S. T. Hedetniemi, and P. Kristiansen, The algorithmic complexity of alliances in graphs. Manuscript.
- [15] K. H. Shafique and R. D. Dutton, On satisfactory partitioning of graphs. *Congr. Numer.* 154 (2002) 183–194.