



EAST TENNESSEE STATE
UNIVERSITY

To: ETSU Faculty and Staff
From: Vice Provost for Research and Sponsored Programs
Date: April 17, 2015
Subject: Export Control

The U.S. government regulates the transfer (export) of information, commodities, technology, and software considered to be strategically important to the United States. There is a network of federal agencies and inter-related regulations that govern exports collectively referred to as “Export Controls.” In brief, Export Controls regulate the shipment or transfer, by whatever means, of controlled items (items and services that have military applications, including commercial items that could have military applications (i.e., dual-use items)) to include software, technology, and/or services out of the U.S. (termed an “Export”). The government also restricts the release of certain information to foreign nationals within the U.S. (referred to as a “Deemed Export”).

In recent years, U.S. Government agencies have strictly enforced these regulations as applicable to universities and research institutions. While most research conducted on US college and university campuses is excluded from these regulations, university research involving *controlled* technologies or transactions and exchanges with designated countries, individuals and entities may require the University to obtain prior approval from the appropriate agency before allowing foreign nationals to participate in controlled research, collaborating with a foreign company and/or sharing research—verbally or in writing—with persons who are not United States citizens or permanent residents. The export control regulations affect not only research conducted on campus, but also travel and shipping items outside the United States.

At ETSU, we are committed to maintaining an open teaching and research environment that supports our academic and research endeavors. At the same time, we remain equally committed to export compliance and upholding our specific responsibilities under these regulations. For more information and resources regarding these regulations, please visit [ETSU Export Control Webpage](#) or contact the Compliance Manager, Office of Research and Sponsored Program Administration at 439-6048.