EAST TENNESSEE STATE UNIVERSITY
OFFICE OF RESEARCH AND SPONSORED PROGRAMS

Visiting Scholar Export Control Questionnaire

East Tennessee State University expects and requires all Visiting Scholars/scientists to abide by U.S. laws and regulations, including those related to export control. In order to prevent inadvertent violation of U.S. export controls, Visiting Scholars/scientists are not permitted to participate in export controlled, proprietary or confidential research programs.

Host Faculty Member
Name: __________________________________________
Department: __________________________________________

Visiting Scholar
Full Name: __________________________________________
Position Title: __________________________________________
Country of Citizenship: __________________________________________
Institution Name: __________________________________________
Institution Address: __________________________________________
Home Address: __________________________________________

Visitation Period
From: __________________ To: __________________

Describe the nature and purpose of the visit:
________________________________________

The following questions are intended to address export controlled issues. Please check yes or no for all of the work contemplated during the scholar’s visit; both funded work and unfunded work.

Will the Visiting Scholar work on any sponsored research project?  Yes □ No □
If yes, provide the ORSPA # __________ and Sponsor ________________________________

Does the research or instruction involve basic, fundamental research which can be found in the public domain?  Yes □ No □
If yes, briefly provide the location or example as to where the research or instruction can be found in the public domain:
________________________________________

Box 70565, Johnson City, Tennessee 37614 423-439-6052 (fax) 423-439-6050 research@etsu.edu
If new technology is developed, will the results be published? Yes ☐ No ☐

Will the Visiting Scholar have access to any US proprietary or unpublished, confidential information, materials, or software? Yes ☐ No ☐

If yes, please provide a description. For software access, please specify if the Visiting Scholar will have access to source code.

Will the faculty/researcher have access to technology or technical data (i.e. development, production, or use) of an item regulated by US export control laws and/or be exposed to controlled technology or technical data? Yes ☐ No ☐

Does the ETSU Host Faculty Member have any Export Controlled equipment or research projects, Technology Control Plans (TCP) or any NASA funded projects? Yes ☐ No ☐

Please describe any equipment that will be used by the Visiting Scholar in the course of performing the research.

Specify all labs and centers the Visiting Scholar will visit or work in while at ETSU.

CERTIFICATION:
I understand that I could be personally liable if I unlawfully disclose export controlled information to Visiting Scholars. I have provided complete information in responding to the questions listed above.

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Compliance check for denied persons and restrictions has been completed.

Yes ☐ No ☐ Passed denied person/embargoes list

Yes ☐ No ☐ Any restrictions, if yes, explain:

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